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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/431,344	10/29/1999	MICHAEL R. O'BRIEN	LOT9-99-0001	8551

21127 7590 06/18/2003

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EXAMINER

FIELDS, COURTNEY D

ART UNIT	PAPER NUMBER
2132	4

DATE MAILED: 06/18/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	09/431,344	O'BRIEN ET AL.
	<b>Examiner</b>	<b>Art Unit</b>
	Courtney D. Fields	2132

— The MAILING DATE of this communication appears on the cover sheet with the correspondence address —

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on \_\_\_\_.  
 2a) This action is FINAL.                  2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-20 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_ is/are allowed.  
 6) Claim(s) 1-20 is/are rejected.  
 7) Claim(s) \_\_\_\_ is/are objected to.  
 8) Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on \_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 11) The proposed drawing correction filed on \_\_\_\_ is: a) approved b) disapproved by the Examiner.  
     If approved, corrected drawings are required in reply to this Office action.  
 12) The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All b) Some \* c) None of:  
     1. Certified copies of the priority documents have been received.  
     2. Certified copies of the priority documents have been received in Application No. \_\_\_\_.  
     3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
     \* See the attached detailed Office action for a list of the certified copies not received.  
 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
     a) The translation of the foreign language provisional application has been received.  
 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                 | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). ____   |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)        | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____ | 6) <input type="checkbox"/> Other: _____                                    |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-12, 14-18 are rejected under 35 U.S.C. 102(b) as being anticipated by Mandelbaum et al. U.S. Patent No. 5,552,897. Referring to claims 1 and 7, Mandelbaum et al. discloses a method and apparatus comprising: when the sender is off-line, inserting an encryption flag in a header associated with the electronic message placing the header and the message in plain text in an outbox, when the sender is on-line, in response to the flag, requesting the digital certificate from the mail system, using the received certificate to encrypt the plain text mail message (See Column 4, lines 38-50)

Referring to claims 2,8, and 14, Mandelbaum et al. discloses the claimed limitation of sending the encrypted mail message to the mail system (See Column 5, lines 8-11)

Referring to claims 3,9, and 15, Mandelbaum et al. discloses the claimed limitation when the sender is on-line, if the flag indicates that the message is encrypted, sending the encrypted mail message to the mail system (See Column 5, lines 4-11)

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Referring to claims 4,10, and 16, Mandelbaum et al. discloses the claimed limitation of requesting the digital certificate from the mail system; and if the certificate is unavailable, informing the sender that the message cannot be encrypted (See Column 6, lines 50-53)

Referring to claims 5,11, and 17, Mandelbaum et al. discloses the claimed limitation of sending the unencrypted mail message in the outbox to the mail system when the message cannot be encrypted (See Column 6, lines 53-55)

Referring to claims 6,12, and 18, Mandelbaum et al. discloses the claimed limitation of using the identifying information to locate the recipient in the mail system and to retrieve the certificate (See Column 4, lines 52-65)

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 13,19, and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mandelbaum et al. U.S. Patent No. 5,552,897 in view of Baltzley U.S. Patent No. 6,292,895. Regarding claims 13 and 19, Mandelbaum et al. discloses the invention substantially as claimed. However, Mandelbaum et al. does not explicitly disclose a computer program product used for encrypting electronic messages composed by a sender for delivery over a mail system to a recipient who holds a digital certificate. Baltzley discloses a user computer

program written in a number of different languages such as Java, which generates a user identifier, private key, public key, and a user passphrase in Column 5, lines 12-24. Baltzley also discloses a cryptosystem used for transmitting and receiving digital messages by using the computer program which allows a user to generate a digital message, encrypting the message using the recipient's public key, and transmitting the encrypted message to the server. Once the encrypted digital message is stored on the server, the recipient may retrieve and decrypt the digital message with his/her private key in Column 7, lines 34-67, Column 8, lines 1-27. Accordingly, it would have been obvious to one of ordinary skill in the art at the time of the invention was made to combine the teachings of both Mandelbaum et al. and Baltzley which will enable a user to compose an off-line message before incorporating a digital certificate. This will incline other email programs to have the ability to function on a central server without having a delay due to the transmission of emails.

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Tunnicliffe U.S. Patent No. 6,055,240 discloses a method and apparatus for message management.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Courtney D. Fields whose telephone number is 703-305-8293. The examiner can normally be reached on Mon - Thu 7:00 - 5:00 pm; off every Fri.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 703-305-1830. The fax phone numbers for the organization where this application or proceeding is assigned are 703-746-7239 for regular communications and 703-746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

CJG  
cdf  
June 14, 2003

  
GILBERTO BARRÓN  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100